

U.S. BANK DEPOSITARY SERVICES (IRELAND AND LUXEMBOURG)

Full-service depositary provider

All regulated investment funds domiciled in the EU are required to appoint a depositary in order to comply with the Alternative Investment Fund Managers Directive (AIFMD) and the Undertakings for Collective Investments in Transferable Securities Directive (UCITS). This important role of monitoring cash flows and overseeing compliance with applicable regulations and investment restrictions in a highly regulated environment means you need a depositary you can trust.

Oversight with accountability

Our dedicated experts will partner with you to identify all of your custodial, reporting and operational needs. We'll provide a flexible, cost-effective solution that not only meets all necessary AIFMD and UCITS obligations but instills absolute confidence as well. In addition, we provide AIFMD compliant depositary lite services to non-EU funds who are marketing in the EU.

Broad capabilities, customised solutions

We're committed to supporting all your depositary needs with our customisable service offerings, state-of-the-art technology and global resources. We'll work closely with you to determine your product's specific requirements so we can provide just the right selection of services. Our capabilities include:

- Safekeeping of assets in custody
- Recordkeeping and ownership verification of other assets not held in custody
- Trade and cash settlement
- Straight-through processing
- Foreign exchange services and tax services
- Online information via PIVOT portal
- Cash flow monitoring
- Investment restriction monitoring



- NAV oversight
- Prime broker appointment
- Board level reporting
- Depositary lite services ICSD settlement infrastructure.

Structures and asset types we support

We support a range of legal structures including PLCs, ICAV, unit trusts and partnership structures. For hedge fund managers of AIFs who require prime brokerage services, we have a number of prime brokers appointed, and we are continuing to expand on the number of prime brokers being added to our platform. We provide services to managers covering a varied range of strategies, including:

- Equities
- Fixed income
- Mutual funds
- Long/short
- Money markets
- Hedge funds
- Private equity
- Funds of funds
- Structured securities
- Derivatives
- Cash

Benefits of an experienced partner

Maintaining compliance with the AIFMD and UCITS directives presents a number of challenges for fund managers. In addition to securing a depositary solution for the fund's assets, managers are also responsible for meeting the strict reporting and investment restrictions as laid out by the applicable regulations. Navigating these regulations can seem overwhelming, but it's significantly easier when you work with a full-service depositary partner who can guide you through your options and monitor the complexities for you. Additionally, we offer depositary lite services to managers who offer non- EU domiciled funds marketed within the EU under the National Private Placement regimes.

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Investment products and services are:

NOT A DEPOSIT • NOT FDIC INSURED • MAY LOSE VALUE • NOT BANK GUARANTEED • NOT INSURED BY ANY FEDERAL GOVERNMENT AGENCY

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